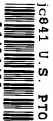


06-08-00

A

06/06/00



jc841 U.S. PTO

"Express Mail" Mailing Label Number: EL371736499US  
Date of Deposit: June 6, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is  
addressed to The Assistant Commissioner of Patents, Washington, D.C. 20231.

PATRICIA M. VERDEN

Typed or printed name of person mailing paper or fee

*Patricia M. Verden*  
Signature of person mailing paper or fee

Assistant Commissioner of Patents  
Box Patent Application-FEE  
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s) : William G. Lundell, Daniel Bayeh,  
Jane M. Mueller and John W. Pace  
For SHORT-LIFE POWER TOOTHBRUSH FOR TRIAL USE

Enclosed are:

- [x] 3 sheet(s) of informal drawing(s), Figures 1 to 4
- [X] The filing fee has been calculated as shown below:

LARGE ENTITY

| For:                       | No. Filed | No. Extra     | Rate  | Fee           |
|----------------------------|-----------|---------------|-------|---------------|
| <u>Utility Fee</u>         |           |               |       | \$690         |
| <u>Total Claims:</u>       | 12        | 0             | x 18= | \$ 0          |
| <u>Independent Claims:</u> | 3         | 0             | x 78= | \$ 0          |
|                            |           | <b>TOTAL:</b> |       | <u>\$690.</u> |

- [x] A check in the amount of \$690.00 is enclosed.
- [x] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1900. A duplicate copy of this sheet is enclosed.
- [x] Any additional filing fees required under 37 C.F.R. 1.16.
- [X] Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

JENSEN & PUNTIGAM, P.S.

By Clark A. Puntigam  
Clark A. Puntigam #25,763

CAP:pmv  
Phone: (206)448-3200  
Encls: Application, Claims, 3 Sheets Drawings,  
Declaration, Assignment, Check, Postcard

jc84 U.S. PTO  
09/58807  
06/06/00

06/06/00

## Description

### SHORT-LIFE POWER TOOTHBRUSH FOR TRIAL USE

#### 5 Technical Field

This invention relates generally to power toothbrushes and more specifically concerns power toothbrushes which are designed for a limited time trial use:

#### 10 Background of the Invention

Conventional power toothbrushes are typically expensive, especially compared with the cost of manual toothbrushes, often as much as 20-30 times that of a manual toothbrush. It is accordingly difficult to persuade many people  
15 to buy a power toothbrush because of the high price and the fact that there is no opportunity to try the power toothbrush without a price risk. Yet, it is believed by many power toothbrush manufacturers that if a potential buyer gives a power toothbrush a fair trial use, a significant chance exists that a sale of the  
20 power toothbrush will occur, particularly if, during the trial use, the toothbrush demonstrates a good cleaning effect and a good "feel" following use. Manufacturers of power toothbrushes up to this point, however, have not attempted to provide a trial-type power toothbrush to the public for a limited time,  
25 trial use. It is unknown as to whether this is because of cost or other considerations. It is actually uncertain as to whether any manufacturers have even considered the use of a special trial unit to promote power toothbrushes.

Persuading potential customers to purchase a power  
30 toothbrush has thus been left to marketing efforts or testimonials from others, as well as recommendations from dental professionals. While these techniques have certainly met with some success, particularly for certain power toothbrushes, a very large segment of the total toothbrush market remains  
35 untapped for power toothbrushes. A substantial percentage of this segment can perhaps only be convinced if they are able to try the power toothbrush risk-free for a certain period of time. One group of customers may need the trial device to convince them; without it they may never purchase a commercial power

toothbrush. Another group of customers might conceivably use a trial unit to accelerate their decision to purchase a power toothbrush. The challenge to the manufacturer is to design a "trial-type" (test unit) power toothbrush which fundamentally  
5 emulates a corresponding conventional (standard) unit for a short period of time by providing an equivalent brushing experience and benefits, the trial unit thereafter being completely disposable.

#### 10 Summary of the Invention

Accordingly, the present invention is a disposable, trial-use power toothbrush, comprising: a trial power toothbrush designed to emulate the performance of a  
15 corresponding commercially available power toothbrush; a power system to provide power for the trial power toothbrush for only a selected short period of time which is long enough that the user becomes accommodated to the operation of the trial power toothbrush and can evaluate the performance thereof, wherein the trial power toothbrush approximates the operation of the  
20 corresponding commercial toothbrush for said selected period of time and wherein when the trial power toothbrush is no longer operational following said selected period of time, the trial power toothbrush may be disposed of.

#### 25 Brief Description of the Drawings

Figure 1 is a simplified schematic view of a power toothbrush showing the major component parts thereof.

Figure 2 shows a simplified block diagram of a power control circuit for the toothbrush of Figure 1.

30 Figure 3 shows a series of battery voltage discharge curves for the battery-powered toothbrush of Figure 1.

Figure 4 shows a power curve for introductory use of a power toothbrush to accommodate the user to the toothbrush.

#### 35 Best Mode for Carrying Out the Invention

The trial power toothbrush unit of the present invention is shown in schematic form in Figure 1. The trial power toothbrush concept is described in accordance with a

particular power toothbrush arrangement for purposes of explanation. However, it should be understood that the principles of the present invention can be applied to power toothbrushes of various designs and arrangements. Specifically,  
5 it should be understood that the principles of the present invention are not limited to the specific toothbrush structure shown and described in detail herein.

The corresponding commercial toothbrush of Figure 1 is actually shown and described in U.S. Patent No. 5,994,855,  
10 and in more detail in U.S. Patent No. 5,189,751, both of which are owned by the assignee of the present invention. The trial toothbrush unit is shown generally at 10 and includes a handle portion 12 and a head portion 14 which is removable from the handle portion. Handle portion 14 includes a battery 16 and an  
15 electromagnetic motor 18. Head portion 14 includes an elongated vibrating resonant arm 19, at the forward end of which is a brushhead 20. The resonant arm 19 is mounted on a cross-wise positioned torsion pin and is driven in a back and forth motion by motor 18. The unit is controlled by on-off switch 23. The  
20 trial toothbrush unit 10 of the present invention is designed and constructed to emulate the operation and performance of a corresponding commercial unit.

The important concept of the present invention is that the trial unit emulate the performance of the corresponding  
25 commercial unit. As mentioned above, the invention is not limited to a particular configuration of trial unit or commercial unit.

The commercial toothbrush which is emulated by the trial unit of Figure 1 is powered by two rechargeable nickel  
30 cadmium batteries of 1.2 volts each. Other rechargeable batteries could be used in the commercial unit. The rechargeable batteries in the commercial unit are typically not disposable and are recharged by a companion charger unit.

In the trial unit 10 of the present invention, the  
35 batteries 16 are non-rechargeable, disposable batteries. No charger is included with the trial unit. Typically, the batteries 16 are two 1.5 volt alkaline batteries. Hence, it is necessary in the embodiment shown to initially decrease the

level of battery voltage output applied to the motor to emulate the commercial unit. In this case, the voltage level of the alkaline batteries 16 must be decreased to the voltage level of the rechargeable batteries in the commercial unit.

5 In addition, the trial unit 10 of the present invention, in order to fully emulate the commercial unit, includes a particular adaptive feature also present in the corresponding commercial unit, wherein the initial series of  
10 uses of the toothbrush are at a lower than full power level, to permit a period of adjustment to the feel of the power toothbrush and its effect on the gums and teeth.

The batteries of the trial unit 10 of the present invention, in combination with a power control circuit, are designed to provide approximately full power (comparable to the  
15 commercial unit) for approximately only one month's usage. One month's (30 days) usage, i.e. 60 brushings, is believed to be an appropriate time for a fair trial of the toothbrush, although it should be understood that somewhat more or fewer uses could be selected. The entire trial unit 10 is disposable after the  
20 trial time has passed.

The batteries 16 have enough power capacity to last for an adequate trial, i.e. one month/30 days. Typically, one month allows approximately two weeks for the user to get used to the operation and feel of the toothbrush and then two weeks to  
25 get the full effect and benefit of the toothbrush. A month (30 days) will typically be sufficient for an adequate trial for most users; at the end of the trial time, the user will hopefully experience sufficient benefits and effects to purchase the corresponding commercial device.

30 Control of the power to the motor is an important aspect of the present invention. Referring to Figure 3, voltage-discharge curve 30 is that of a typical alkaline battery alone, without any adjustment. An alkaline battery is desirable in the present invention because it will deliver approximately  
35 full power for a complete trial period of time (one month/30 days) and then decrease quite quickly in output, instead of a long, steady, slow decline. Hence, the device will actually

cease to operate shortly after the battery begins to decrease from full power (at the end of the trial period), so that operation and performance is not impaired for a substantial period of time prior to the battery ceasing operation.

5 In the embodiment shown, two 1.5 volt alkaline batteries start out at approximately a total of 3 volts, and gradually decline to approximately 2.6 volts over their lifetime, at which point the voltage output drops rather quickly. This decline may begin to occur at approximately one  
10 month/30 days of brushing use (two brushing events per day at two minutes for each event). The alkaline batteries thus are generally capable of providing a power output level sufficient to emulate the operation and performance of the corresponding commercial toothbrush for a period of time appropriate for an  
15 adequate trial use of the toothbrush.

In the present invention, a power control circuit assists in providing a controlled level of power to the drive motor 18 from battery 16. In operation, the initial power output of battery 16 is decreased so that it coincides with the  
20 battery output of the corresponding commercial unit, i.e. 2.4 volts in the present embodiment. Briefly, the power control circuit controls the power to the drive motor by varying the duty cycle of its (the control circuit) output control signals. In the embodiment shown, the power from the 3.0 volt batteries  
25 is decreased by decreasing the duty cycle of the control signals to match the power produced by the 2.4 volt batteries in the commercial unit. It will then adjust the duty cycle of the control signals to maintain the power delivered to the motor over the life of the trial unit to approximate that of the  
30 commercial unit. Thus, for the desired useful life of the trial unit 10, the power to the motor is maintained substantially the same (a flat value, neither increasing nor decreasing), corresponding to that of the commercial unit.

The power control circuit is shown and described in  
35 U.S. Patent No. 5,994,855 and in the simplified block diagram circuit of Figure 3. The power control circuit includes a microcontroller 40 and a motor drive circuit 42. The microcontroller is programmed to control the duty cycle of the

drive signals from the motor drive circuit 42 in such a manner that the power from the battery 16 is initially decreased to match the output of the commercial unit battery. The duty cycle is then gradually increased to compensate for any decrease in the battery voltage discharge value over time, maintaining the same power level over time, until a preselected number of uses of the trial unit has occurred, at which point the output drive signals from the motor drive circuit 42 are terminated, ending the usefulness of the trial unit. The microcontroller 40 is programmed with the known voltage discharge curve of the batteries, so that the change in duty cycle can match the change in battery voltage output over time. This is shown as voltage discharge curve 36 in Figure 3.

The actual termination of the drive signal by the control circuit of Figure 2 is an alternative to the battery losing power sufficient to run the unit after a selected short period of time (the trial time). The drive signal termination circuit, while not necessary to the present invention, is in fact significant for a number of reasons, including ensuring that the trial unit will last for the desired number of brushings, but no more.

Regardless of whether the battery is allowed to decrease on its own through its normal voltage discharge cycle, wherein the unit stops functioning at a particular point in time, or its use is actually terminated, there must in both cases be a significant confidence, e.g. 95 percent, that the device will last with appropriate emulation of brushing effect for at least 60 brushings or some other specific number of brushings adequate to provide an appropriate trial use time for the unit.

The voltage discharge line 38 shows another power dependent feature superimposed on the voltage discharge curve 36. In voltage discharge curve 38, the power control circuit initially reduces the drive signal from circuit 42 to a lower than full power level so as to permit the user to be acclimated to the operation of the device. An example of a very specific power ramp-up characteristic is shown in more detail in Figure 4, in which the power to the motor 18 is increased from

approximately 70% initial power to 100%, over a total of approximately 13 brushings. Other "ramp-up" characteristics can be used.

5 This arrangement permits the user to be gradually accommodated to the operation of the device. The power control circuit thus initially, through control of the duty cycle of drive circuit 42, provides a power output to the motor which is less than full power, i.e. less than the commercial unit at normal operation. After a selected limited number of brushings, 10 however, full power is reached and the power control circuit then maintains a full power output to the motor 18 for the predetermined life of the trial unit. While this "learning feature" of initial lowered power is not necessary to the present invention, it does emulate another feature of operation 15 of the corresponding commercial power toothbrush and therefore has been described herein.

Thus, in the particular embodiment shown, the power control circuit provides a motor drive signal which results in lower power to the motor at the beginning of the toothbrush 20 trial time, but then ramping up to and thereafter maintaining full power until the end of life of the trial unit. As explained above, the end of life of the trial unit can be the result of the natural fast decrease in power of an alkaline battery after a selected number of uses or can result from an abrupt termination of power to the motor by operation of the 25 power control unit itself.

Other features of the commercial unit, particularly those which affect brush performance, may be emulated. For instance, a blinking light indication for a low battery 30 condition might be provided, among others.

At the end of the preselected number of uses, the appropriate time for use, the trial unit is no longer operative. The unit then may be disposed of by the user, without the inconvenience of having to return it to the manufacturer. This 35 is a characteristic of most conventional trial units.

Hence, a trial unit for a corresponding commercial power toothbrush provides emulation of the commercial unit for a selected number of uses, to produce an adequate "trial" use of



the commercial unit. The unit is designed to be disposable after the trial use. It includes low cost, non-rechargeable batteries and comes without any charging mechanism. The unit may be designed and packaged in particular ways to indicate that it is a test unit, and to reduce cost while still faithfully emulating the operation and performance of the corresponding commercial unit. This results in a satisfactory trial of the commercial device for a prospective customer. After experiencing the trial unit, the user then will most likely want to move directly toward purchase of the commercial unit (returning to previous manual brushing methods is usually not seen as desirable by the consumer). They can also be encouraged through various ways to purchase an actual commercial unit, such as through promotions, special discounts, etc. The trial unit, however, is not convertible into a commercial unit and is designed to be disposable. This basic "trial unit" concept may also be applicable to other power personal care items which may otherwise be seen as too expensive for trial units.

Although a preferred embodiment of the invention has been disclosed herein for purposes of illustration, it should be understood that various changes, modifications and substitutions may be made without departing from the spirit of the invention, which is defined by the claims which follow.

What is claimed is:

Claims

1. A disposable, trial-use power toothbrush, comprising:  
a trial power toothbrush designed to emulate the performance of a corresponding commercially available power toothbrush; and

a power system to provide power for the trial power toothbrush for only a selected short period of time which is long enough that the user becomes accommodated to the operation of the trial power toothbrush and can evaluate the performance thereof, wherein the trial power toothbrush approximates the operation of the corresponding commercial toothbrush for said selected period of time and wherein when the trial power toothbrush is no longer operative following said selected period of time, the trial power toothbrush may be disposed of.

2. An article of claim 1, wherein the power system includes a non-rechargeable battery.

3. An article of claim 2, wherein the power system includes a power control circuit which in combination with the battery controls the operation of the trial power toothbrush and terminates operation thereof following said selected period of time.

4. An article of claim 2, wherein the non-rechargeable battery has a power discharge characteristic such that at the end of said short period of time, the voltage output from the battery is insufficient to operate the trial power toothbrush.

5. An article of claim 3, wherein the power control circuit controls the power to operate the trial toothbrush at a level approximately that of the corresponding commercial toothbrush over said short period of time, based on the voltage output of the battery over the short period of time, including means for compensating for any decrease in voltage output of the battery over the short period of time.

6. An article of claim 3, wherein the power control circuit provides lower than full power output to drive the toothbrush for a portion of time at the beginning of the short period of time so as to accommodate the user to operation of the toothbrush.

7. A disposable, trial-use power personal care appliance, comprising:

a trial power personal care appliance designed to emulate the performance of a corresponding commercially available personal care appliance; and

a power system to provide power for the trial power appliance for only a selected short period of time which is long enough that the user becomes accommodated to the operation of the trial power appliance and can evaluate the performance thereof, wherein the trial power appliance approximates the operation of the corresponding commercial appliance for said selected period of time and wherein when the trial power appliance is no longer operative following said selected period of time, the trial power appliance may be disposed of.

8. An article of claim 7, wherein the power system includes a non-rechargeable battery.

9. An article of claim 8, wherein the power system includes a power control circuit which in combination with the battery controls the operation of the trial power appliance and terminates operation thereof following said selected period of time.

10. A method for introduction of a power toothbrush to a potential customer for a limited period of time, comprising the steps of:

providing to a user a trial power toothbrush designed to emulate the performance of a corresponding commercially available power toothbrush, wherein the trial power toothbrush includes a power system which provides power for the trial power toothbrush for only a selected short period of time, but which is long enough that the user can become accommodated to the operation of the trial power

toothbrush and can evaluate the performance thereof, wherein the trial power toothbrush approximates the operation of the corresponding commercial toothbrush for said selected period of time; and

providing information to the user concerning use and disposal of the trial power toothbrush.

11. A method of claim 10, wherein the power system includes a non-rechargeable battery.

12. A method of claim 11, wherein the power system includes a power control circuit which in combination with the battery controls the operation of the trial power toothbrush and terminates operation thereof following said selected period of time.

AbstractSHORT-LIFE POWER TOOTHBRUSH FOR TRIAL USE

A trial (test) power toothbrush designed to emulate the performance of a corresponding commercial toothbrush, powered by a non-rechargeable battery. The power to drive the toothbrush is controlled by a control circuit which is responsive to the battery to maintain the drive power at approximately the level of the commercial unit and a short "trial use" period, i.e. one month/30 days, and then to terminate operation of the power toothbrush. The trial toothbrush may then be readily disposed of by the user, including the battery therein.

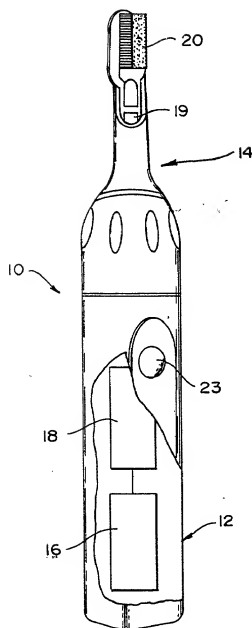


FIG. 1

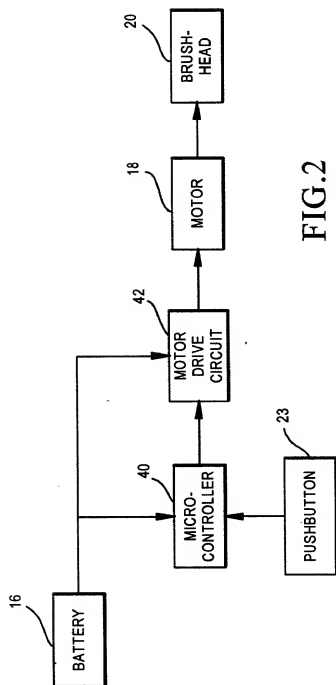
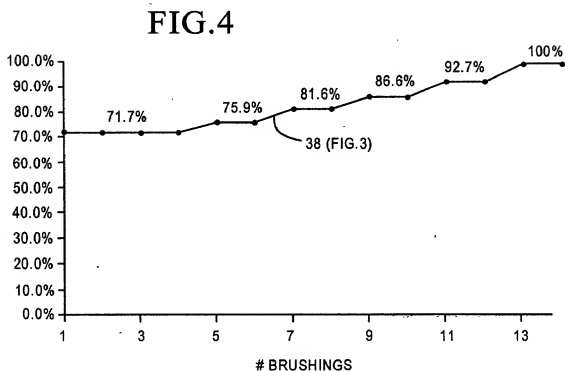
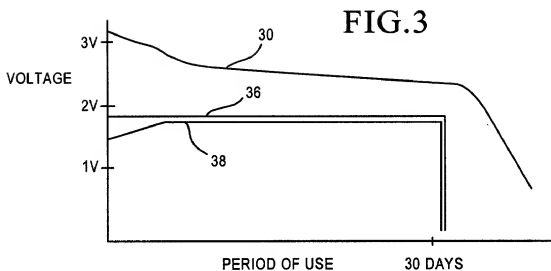


FIG.2





DECLARATION AND POWER OF ATTORNEY

As the below-named inventors, we declare that:

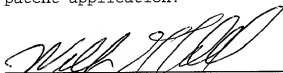
Our residences, post office addresses, and citizenships are as stated below under our names.

We have reviewed and understand the contents of the foregoing specification, including the claims, and we believe we are the original, first and joint inventors of the invention entitled "SHORT-LIFE POWER TOOTHBRUSH FOR TRIAL USE," which is described and claimed in the foregoing specification.

We acknowledge our duty to disclose information of which we are aware which is material to the examination of this application in accordance with 37 C.F.R. § 1.56(a).

We hereby appoint ROBERT A. JENSEN, Registration No. 24,268, CLARK A. PUNTIGAM, Registration No. 25,763 and Christopher O. Duffy, Registration No. 19,480, comprising the firm of JENSEN & PUNTIGAM, P.S., 1020 United Airlines Bldg., 2033 Sixth Avenue, Seattle, Washington 98121-2584, telephone (206) 448-3200 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Please address all correspondence and telephone calls to: **Clark A. Puntigam.**

We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that the making of willfully false statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of any patent issuing from this patent application.

  
\_\_\_\_\_  
William G. Lundell

Date 5/25/00  
Residence **Issaquah, Washington**  
Citizenship : **United States of America**  
P.O. Address **601 12th Ave. NW #G2**  
**Issaquah, WA 98027**

Daniel Bayeh  
**Daniel Bayeh**

Date 5/26/00  
Residence **Seattle, Washington**  
Citizenship : **Ethiopia**  
P.O. Address **1775 17th Ave. S #1**  
**Seattle, WA 98144**

Jane M. Mueller  
**Jane M. Mueller**

Date May 18, 2000  
Residence **Bellevue, Washington**  
Citizenship : **United States of America**  
P.O. Address **2420 123rd Ave. SE**  
**Bellevue, WA 98005**

John M. Pace  
**John M. Pace**

Date 5-8-2000  
Residence **Bothell, Washington**  
Citizenship : **United States of America**  
P.O. Address **4715 175th St. SE**  
**Bothell, WA 98012**